

Auditing for Business Risks in the World of Regulatory Compliance

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If you have never been in these situations, you just might be comforted to learn that, in fact, there can be and should be a tangible business value to a regulatory audit. So do read on. If you are a manager who is on the receiving end of audit reports or are in charge of the Quality Assurance Unit, most definitely read on. This article will identify certain expectations you should have – in fact should insist upon – from internal and external auditors. These expectations can be summarized in the following maxim: “Providing a regulatory observation without the business context is like serving...”

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